Sheet <u>1</u> of <u>3</u>

Substitute Form PTO-1449 U.S. (Modified)

U.S. QB artment of Commerce

Attorney's Docket No. 17315-002001

Application No. 10/635,776

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant
St. Goar et al.

Filing Date August 5, 2003 3

Group Art Unit 3732

(37 CFR §1.98(b))

(3) (3) (3).3				1 8							
	U.S. Patent Documents										
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate				
UB	AA	2002/0013571	01/31/02	Goldfarb et al.	606	1	06/27/01				
	AB	2002/0035361	03/21/02	Houser et al.	606	15	07/03/01				
	AC	2002/0183766	12/05/02	Seguin	606	139	07/24/02				
	AD	2003/0069570	04/10/03	Witzel et al.	606	28	11/12/02				
	AE	2003/0069593	04/10/03	Tremulis et al.	606	142	08/10/02				
	AF	2003/0069636	04/10/03	Solem et al.	623	2.37	11/26/02				
	AG	2003/0078654	04/24/03	Taylor et al.	623	2.36	08/14/02				
	AH	2003/0167071	09/04/03	Martin et al.	606	232	03/01/02				
	AI	2004/0003819	01/08/04	St. Goar et al.	128	898	07/03/03				
	AJ	2004/0019377	01/29/04	Taylor et al.	623	2.11	01/14/03				
	AK	2004/0019378	01/29/04	Hlavka ct al.	623	2.11	07/18/03				
	AL	2004/0039442	02/26/04	St. Goar et al.	623	2.36	08/07/03				
	AM	2004/0039443	02/26/04	Solem et al.	623	2.37	12/24/02				
	AN	2004/0044350	03/04/04	Martin et al.	606	139	05/19/03				
	AO	2004/0049207	03/11/04	Goldfarb et al.	606	139	05/19/03				
	AP	2004/0087975	98/06/04 05	Lucatero et al.	606	139	05/19/03				
	AQ	2004/0088047	05/06/04	Spence et al.	623	2.36	10/28/03				
	AR	2004/0092962	05/13/04	Thornton et al.	606	139	05/19/03				
	AS	2004/0106989	06/03/04	Wilson et al.	623	2.11	07/03/03				
	AT	2004/0122448	06/24/04	Levine	606	139	08/13/03				
	AU	2004/0127981	07/01/04	Rahdert et al.	623	2.36	10/01/03				
	AV	2004/0127982	07/01/04	Machold et al.	623	2.36	10/01/03				
	AW	2004/0127983	07/01/04	Mortier et al.	623	2.36	10/07/03				
	AX	2004/0133062	07/08/04	Pai et al.	600	16	10/08/03				
	AY	2004/0133063	07/08/04	McCarthy et al.	600	16	12/12/03				
	ВА	2004/0133220	07/08/04	Lashinski et al.	606	151	08/05/03				
	ВВ	2004/0133240	07/08/04	Adams et al.	607	2	01/07/03				
	ВС	2004/0133273	07/08/04	Сох	623	2.11	12/17/03				
1	BD	2004/0138745	07/15/04	Macoviak et al.	623	2.36	10/28/03				
V	BE	2004/0225300	11/11/04	Goldfarb et al.	606	139	03/17/04				

a. 2/24/10

Examiner Signature

n M B

Date Considered

1-16-07

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 17315-002001

Application No. 10/635,776

DEC 2 6

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant St. Goar et al.

Filing Date **Group Art Unit** August 5, 2003 3738

Date	
opriate	

Œ 2/24/10

U.S. Patent Documents									
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate		
UB_	AA .	2001/0037084	10/01/01	Nardeo	604	95.04	04/25/01		
	ΑB	2003/0120341	06/26/03	Shennib et al.	623	2.12	12/21/01		
	AC	2003/0208231	11/06/03	Williamson, IV et al.	606	205	11/08/01		
	AD	2003/0233038	12/18/03	Hassett.	600	374	02/24/03		
	AE	2004/0024414	02/05/04	Downing	606	108	07/01/03		
	AF	2004/0186566	09/23/04	Hindrichs et al.	623	2.37	03/17/04 .		
	AG	2005/0004665	- 07/07/03	01/2005 Aklog	623	2.36	07/02/03		
	AH	2005/0049698	03/03/05	Bolling et al.	623	2.36	10/18/04		
	AI	2005/0055089	03/10/05	Macoviak et al.	623	2.37	07/19/04		
	AJ	2005/0059351	03/17/05	Cauwels et al.	455	67.7	10/29/04		
	AK	2005/0216039	09/29/05	Lederman	606	144	05/12/05		
	AL	2005/0228422	10/13/05	Machold et al.	606	167	03/25/05		
	AM	2005/0251001	11/10/05	Hassett	600	374	07/04/05		
·	AN	2005/0267493	12/01/05	Schreck et al.	606	139	07/20/05		
	AO.	2006/0030866	02/09/06	Schreck	606	139	10/11/05		
	AP	2006/0064115	03/23/06	Allen et al.	606	139	11/15/05		
	AQ	2006/0064116	03/23/06	Allen et al.	606	139	11/15/05		
	AR	2006/0064118	03/23/06	Kimblad	606	151	11/07/05		
	AS	2006/0252984	11/09/06	Rahdert et al.	600	37	03/27/06		
	AT	2,097,018 :	10/26/97	Chamberlain	384	26	07/17/36		
	AU	4,064,881	12/27/77	Meredith	606	142	06/01/76		
	ΑV	4,112,951	09/12/78	Hulka et al.	128	831	01/26/76		
	AW	4,498,476	02/12/85	Cerwin et al.	606	158	11/17/83		
	AX	4,510,934	04/16/85	Batra	606	231	05/13/83		
	AY	4,944,295	07/31/90	Gwathmey et al.	227	176.1	02/07/89		
	AZ	5,015,249	05/14/91	Nakao et al.	606	142 .	12/26/89		
4	AAA	5,047,041	09/10/91	Samuels	606	159 ′	03/23/90		

Examiner Signature	Lu	M	13	Date Considered L-lie - 07
EXAMINER: Initials	citation considered. D	raw line throug	h citation if not	in conformance and not considered. Include copy of this form with
next communication	to applicant.		_	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17315-002001	Application No. 10/635,776
}	closure Statement	Applicant . St. Goar et al.	
(Use several st	neets if necessary)	Filing Date August 5, 2003	Group Art Unit

					ent Documents]
Examin Initia		sig. D	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
UB	E	BA	5,108,368	04/28/92	Hammerslag et al.	604	528	09/17/90	
ĺ	E	BB	5,125,758	-06/02/98	06/1992 DeWan	402	25	12/06/90	Œ 2/24/10
	E	3C	5,190,554	03/02/93	Coddington et al.	606	113	04/08/92	
	E	BD	5,195,968	03/23/93	Lundquist et al.	604	95.04	07/17/92	
	E	BE	5,275,578	01/04//94	Adams	482	27	01/11/91	
	E	3F	5,314,424	05/24/94	Nicholas	606	41	04/06/92	
	E	3G	5,318,525	06/07/94	West et al.	604	95.04	04/10/92	
	E	ВН	5,325,845	07/05/94	Adair	600	114	06/08/92] .
	1	ВІ	5,359,994	11/01/94	Krauter et al.	600	149	01/24/94	,
	I	вЈ	5,368,564	11/29/94	Savage	604	95.04	12/23/92	
	E	3K	5,368,601	11/29/94	Sauer et al.	606	144	04/30/92	
	E	3L	5,403,312	04/04/95	Yates ct al.	606	50	07/22/93	1 "
	P	M	5,417,699	05/23/95	Klein et al.	606	144	12/10/92	
	E	3N	5,431,666	07/11/95	Sauer et al.	606	139	02/24/94	
	E	30	5,437,681	08/01/95	Meade et al.	606	145	01/13/94	
	F	3P	5,456,684	10/10/95	Schmidt et al.	606	41.	09/08/94	
	E	3Q	5,462,527	10/31/95	Stevens-Wright et al.	604	528	06/29/93	
	E	3R	5,472,044	12/05/95	Hall et al.	165	115	11/24/93]
	E	BS	5,476,470	12/19/95	Fitzgibbons Jr.	606	144	04/15/94]
	E	3T	5,487,746	01/30/96	Yu et al.	606	151	11/23/94] .
	E	BU	5,507,725	04/16/96	Savage et al.	604	95.04	11/22/93	
	E	3V	5,507,757	04/16/96	Sauer et al.	606	144	10/18/94	,
	В	w	5,527,313	06/18/96	Scott et al.	606	51	04/06/95	
	É	3X	5,527,322	06/18/96	Klein et al.	606	144	11/08/93]
	. E	BY	5,540,705	07/30/96	Meade et al.	606	145	05/19/95]
	E	3Z	5,562,678	10/08/96	Booker	606	113	06/02/95]
V	C	A	5,571,085	11/05/96	Accisano III	604	95.01	03/24/95	

Examiner Signature	MB	Date Considered
EXAMINER: Initials ditation considered	I. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.		

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17315-002001	Application No. 10/635,776
	closure Statement oplicant	Applicant St. Goar et al.	·
(Use several sh	neets if necessary)	Filing Date August 5, 2003	Group Art Unit

			U.S. Pate	nt Documents				
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
1/18	DC	6,077,214	06/20/00	Mortier et al.	600	16	07/29/98	
	DD	6,086,600	07/11/00	Kortenbach	606	139	11/03/97	
	DE	6,123,699	09/26/00	Webster Jr.	604	528	09/05/97	
	DF	6,132,447	10/17/00	Dorsey	606	174	05/04/98	
	DG	6,136,010	10/04/00	Modesitt et al.	606	144	03/04/99	
	DH	6,162,233	12/19/00	Williamson, IV et al.	606	·142	08/06/99	
	DI	6,187,003	02/13/01	Buysse et al.	606	49	11/12/97	
	D1	6,203,553	03/20/01	Robertson et al.	606	139	09/08/99	
	DK	6,206,893	03/27/01	Klein et al.	606	144	04/08/98	
	DL	6,245,079	06/12/01	Nobles et al.	606	144	12/23/99	
	DM	6,267,746	07/31/01	Bumbalough	604	95.01	03/22/99	
6,629, 534	DN	-6 ,269,534	10/07/03	St. Goar et al.	29	621.1	12/03/98	CE 2/24/10
	DO	6,319,250	11/20/01	Falwell et al.	606	41	11/20/01	
	DP	6,322,559	11/27/01	Daulton, et al.	606	41	07/06/98	
	DQ	6,332,893	12/25/01	Mortier et al.	623	2.36	12/17/97	
	DR	6,464,707	10/15/02	Bjerken _.	606	139	03/31/00	
	DS	6,482,224	11/19/02	Michler et al.	606	219	06/07/99	
	DT	6,551,331	04/22/03	Nobles et al.	606	144	01/30/01	
	DU	6,562,052	05/13/03	Nobles et al.	606	144	05/13/03	
	DV	6,641,592	11/04/03	Sauer et al.	606	144	11/15/00	
	DW	6,695,866	02/24/04	Kuehn et al.	606	213	04/05/00	
	DX	6,767,349	07/27/04	Ouchi	606	51	02/28/02	
	DY	6,875,224	04/05/05	Grimes	606	219	10/17/01	
	DZ	6,945,978	09/20/05	Hyde	606	142	11/15/02	
	EA	6,949,122	09/27/05	. Adams et al.	623	2.36	11/01/01	
	EB	6,966,914	11/22/05	Abe	606	113	05/16/02	
<u> </u>	EC	7,011,669	03/14/06	Kimblad	606	151	03/10/03	

Examiner Signature	Zn	M	B	Date Considered
EXAMINER: Initials of next communication to	itation considere applicant.	d. Draw line	e through citation if no	ot in conformance and not considered. Include copy of this form with

Substitute Disclosure Form (PTO-1449)

Substitu (Modifie		m PTO-144		ent of Commerce rademark Office	Attorney's Docket No. 17315-002001		Application No 10/635,770		
List			nd Publications for A on Disclosure Statem		Applicant St. Goar et al.			·	
(37 CFR	t §1.98	i(b))			Filling Date August 5, 2003	•	Group Art Unit		
				U.S. Patent	Documents				
Exami Initia		Desig . ID	Document Number	Publicati on Date	Patentee	Class	Subclass	Filing Date If Appropriate	
1)2	7	BB	· 5,947,363	09/07/99	Bolduc et al.			·	
7		ВС	5,954,732	09/21/99	Hart et al.			•	
		BD	5,980,455	11/09/99	Daniel et al.				
		BE	5,989,284	11/23/99	Laufer	!			
		BF	6,019,722	02/01/00	Spence et al.				
		BG	6,117,144	09/12/00	Nobles et al.				
		ВН	6,165,183 12	J1/26/00	Kuehn et al.			Œ	2
		BI	6,210,432	04/03/01	Solem et al.				
		Bl	6,269,819	08/07/01	Oz et al.				
		ВК	6,306,133	10/23/01	Tu et al.				
		BĿ	6,312,447	11/06/01	Grimes				
	J	ВМ	6,355,030	03/12/02	Aldrich et al.				
	_								-

	F	Foreign Patent	Documents or Pu	ıblished Foreign Pa	tent Appli	cations		
Examiner	Desig	Document	Publication	Country or				lation
Initial	ID _	Number	Date	Patent Office	Class	Subclass	Yes	No
(K	BN	06/116558	11/2/06	PCT				
7	ВО	06/086434	8/17/06	PCT				
	BP	81/00668	03/19/81	PCT				
	BQ	1598111	09/16/81	UK				
7	BR	2151142	07/17/85	UK .				

Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner	Desig.		
Initial	ID	Document	
B	BS	Abe, T., et al., "De Vega's annuloplasty for acquired tricuspid disease: Early and late results in 110 patients," Annals of Thoracic Surgery, 48:670-676, (1989).	
	вт	Abe, T., et al., "Updated: De Vega's annuloplasty for acquiried tricuspid disease: Early and late results in 110 patients," Annals of Thoracic Surgery, 62:1876-1877, (1996).	
7	BU.	Alvarez, J.M., et al., "Repairing the degenerative mitral valve: ten-to-fifteen-year follow-up," Journal of Thoracic and Cardiovascular Surgery, 112:238-247, (1996).	

Examiner Signature & MB 8-13-07	Date Considered			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

2/24/10